

Neurobiology

Cholesterol Accumulation Is Associated with Lysosomal Dysfunction and Autophagic Stress in *Npc1*^{-/-} Mouse Brain

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Niemann-Pick type C (NPC) disease is an autosomal recessive disorder caused by mutations of *NPC1* and *NPC2* genes. Progressive neurodegeneration that accompanies NPC is fatal, but the underlying mechanisms are still poorly understood. In the present study, we characterized the association of autophagic-lysosomal dysfunction with cholesterol accumulation in *Npc1*^{-/-} mice during postnatal development. Brain levels of lysosomal cathepsin D were significantly higher in mutant than in wild-type mice. Increases in cathepsin D occurred first in neurons and later in astrocytes and microglia and were both spatially and temporally associated with intracellular cholesterol accumulation and neurodegeneration. Furthermore, levels of ubiquitinated proteins were higher in endosomal/lysosomal fractions of brains from *Npc1*^{-/-} mice than from wild-type mice. Immunoblotting results showed that levels of LC3-II were significantly higher in brains of mutant than wild-type mice. Combined LC3 immunofluorescence and filipin staining showed that LC3 accumulated within filipin-labeled cholesterol clusters inside Purkinje cells. Electron microscopic examination revealed the existence of autophagic vacuole-like structures and multivesicles in brains from *Npc1*^{-/-} mice. These results provide strong evidence that cholesterol accumulation-induced changes in autophagy-lysosome function are closely associated with neurodegeneration in NPC. (*Am J Pathol* 2007, 171:962-975; DOI: 10.2353/ajpath.2007.070052)

Niemann-Pick type C disease (NPC) is a fatal neurodegenerative disorder that mainly affects children. The pathological hallmark of NPC is the massive accumulation of cholesterol and other lipids in late endosomes and lysosomes.^{1,2} In most cases (approximately 95%), the disease is caused by mutations in the *NPC1* gene³ and the remainders by mutations in the *NPC2* gene; both genes encode proteins that play important roles in intracellular cholesterol transport.⁴⁻⁶ Neurodegeneration in NPC shares a number of pathological features with those observed in Alzheimer's disease (AD), although the structures most affected in NPC are cerebellum and brainstem. Both diseases exhibit cholesterol metabolism impairment,⁷⁻¹⁰ endosomal/lysosomal dysfunction,^{5,8,11} and tauopathies.¹²⁻¹⁵ Interestingly, the neurofibrillary tangles, the most common form of tauopathies, found in NPC are indistinguishable from those found in AD brains.^{12,14} In both diseases, levels of free cholesterol are positively correlated with the incidence of intraneuronal neurofibrillary tangles.^{16,17}

Several lines of evidence have established lysosomal dysfunction as an early-onset neuropathological feature of AD. Levels of lysosomal cathepsin D in neurons are increased in AD vulnerable regions before the onset of major pathology.¹⁸ Cathepsin D up-regulation correlates on a cell-by-cell basis with other markers of early-stage AD, including decreased levels of the synaptic vesicular protein synaptophysin and increased levels of intraneuronal neurofibrillary tangles.^{19,20} Experimentally induced lysosomal dysfunction is associated with rapid formation of neurofibrillary tangles in hippocampal slices cultured from apolipoprotein E knockout mice.²¹ Cytoplasmic presence of cathepsin D can induce release of cytochrome c from mitochondria and activation of proapoptotic factors,

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which leads to caspase-dependent apoptosis, also referred to as type 1 programmed cell death.²²⁻²⁴

Lysosomes also participate in type 2 programmed cell death, referred to as autophagic cell death, which is defined by the presence of autophagic morphology.^{25,26} Neuronal death with features of autophagy has been observed during normal development²⁷ and in pathological conditions, such as in AD^{28,29} and in Parkinson's disease.³⁰ On the other hand, neuroprotective function of autophagy has also been implicated in certain neurodegenerative diseases, such as Huntington's disease. A recent study reported the existence of autophagic features in Purkinje cells in *Npc1*^{-/-} mice.³¹ To investigate further the roles of autophagy-lysosome system in neurodegeneration in NPC, the present study determined levels and localization of the lysosomal enzyme cathepsin D and of autophagic activity and the potential association of autophagic-lysosomal dysfunction with accumulation of cholesterol and neurodegeneration in brains of *Npc1*^{-/-} mice.

Materials and Methods

Mice

Breeding pairs of BALB/cNctr-*npc1*^{NIH} mice heterozygous for *Npc1* (+/-) were obtained from Jackson Laboratories (Bar Harbor, ME) and maintained in our animal facility in accordance with National Institutes of Health guidelines and protocols approved by the Institutional Animal Care and Use Committee with care to minimize distress to the animals. Mouse breeding and genotyping were performed as previously described.³² Animals were sacrificed at postnatal weeks 1, 2, 4, and 8 (four to eight animals for each age group) under deep anesthesia (100 mg/kg sodium pentobarbital) by perfusion for immunohistochemical and histological studies or by decapitation for biochemical analyses. For histological studies, animals were perfused with phosphate-buffered saline (PBS) followed by 4% paraformaldehyde. Brains were removed and incubated with 15% sucrose followed by 30% sucrose before being sectioned at 25 μ m with a microtome. Coronal sections were stored in a cryoprotective solution at -20°C before being processed for immunohistochemical studies.

Subcellular Fractionation

Brains from *Npc1*^{-/-} and their wild-type littermates were dissected in ice-cold artificial cerebrospinal fluid and homogenized in homogenization buffer [homogenization buffer-EDTA: 3 mmol/L imidazole, 250 mmol/L sucrose, and 1 mmol/L ethylenediamine tetraacetic acid (EDTA), pH 7.4] containing protease inhibitors (Sigma-Aldrich, St. Louis, MO); homogenates were centrifuged for 10 minutes at 1500 \times *g*. The sucrose concentration of the collected postnuclear supernatant was adjusted to 40.6% by the slow addition of 62% sucrose in homogenization buffer-EDTA. Postnuclear supernatant was then carefully overloaded with 1.5 ml of 35% and 1.0 ml of 25% sucrose

in homogenization buffer-EDTA, and the samples were centrifuged in an SW 55 rotor (Beckman Instruments, Inc., Palo Alto, CA) at 14,000 \times *g* for 90 minutes at 4°C. Subcellular fractions were collected from the top of the tube. The late endosome/lysosome-enriched fraction was localized in the upper interface, containing 25% sucrose and homogenization buffer, and the early endosome-enriched fraction in the middle interface containing 35 and 25% sucrose. The lower interface containing 40.6 to 35% sucrose was enriched in plasma membranes and other heavy membrane compartments.

Western Blots

Electrophoresis and immunoblotting were performed following conventional procedures. In brief, after protein concentration was determined, proteins (40 to 60 μ g) of postnuclear supernatant from different brain regions [cerebellum, brainstem (including interbrain, midbrain, and hindbrain), hippocampus, and cortex] or of other subcellular fractions were denatured by boiling for 5 minutes in a sample buffer (2% sodium dodecyl sulfate, 50 mmol/L Tris-HCl pH 6.8, 10% 2-mercaptoethanol, 10% glycerol, and 0.1% bromophenol blue) and separated by electrophoresis on sodium dodecyl sulfate-polyacrylamide gels (12%), after which proteins were transferred to nitrocellulose membranes. Nitrocellulose membranes were incubated with primary antibodies for 12 to 16 hours at 4°C; immunoreactivity was visualized by using enhanced chemiluminescence (ECL Plus kit and reagents; Amersham Pharmacia Biotech, Piscataway, NJ). Antibodies used included anti-cathepsin D (1:1000; EMD Biosciences, San Diego, CA), anti-cathepsin B (1:100; Santa Cruz Biotechnology, Santa Cruz, CA), anti-rab7 (1:1000; Santa Cruz Biotechnology), anti-ubiquitin (1:500; Zymed, Carlsbad, CA), and anti-LC3 serum (gift from T. Yoshimori, National Institute of Genetics, Mishima, Shizuoka, Japan³³). Levels of different bands were analyzed by using the National Institutes of Health Image program (Bethesda, MD). Statistical significance was determined by two-tailed Student's *t*-test.

Activity Assay of Cathepsins B and D

Whole homogenates of brainstem, cerebellum, or hippocampus from *Npc1*^{-/-} and wild-type mice were used to analyze the activity of cathepsins B and D using fluorogenic immunocapture activity assay kits (EMD Biosciences) according to the kit instructions.

Immunohistochemistry

Sagittal sections from cerebellum and coronal sections from the rest of the brain of animals from different ages were simultaneously processed for immunostaining. Immunohistochemistry was performed using the avidin-biotin horseradish peroxidase complex method. In brief, free-floating sections were first incubated in 10% normal horse serum (for monoclonal antibodies) or 3% normal goat serum (for polyclonal antibodies) diluted in PBS with 0.1% Triton X-100 for 1 hour at room temperature, fol-

lowed by incubation with primary antibodies overnight at 4°C. Antibodies used were anti-cathepsin D (1:500; EMD Biosciences) and anti-cathepsin B (1:100; Santa Cruz Biotechnology). After three washes in PBS, sections were incubated with corresponding biotinylated secondary antibodies (1:400; Vector Laboratories, Burlingame, CA) in 5% normal horse serum or 1.5% normal goat serum solution for 2 to 3 hours, then in avidin-biotin horseradish peroxidase complex diluted in PBS for 45 minutes. Peroxidase reaction was performed with 3,3'-diaminobenzidine tetrahydrochloride (0.05% in 50 mmol/L Tris-HCl buffer, pH 7.4) as chromogen and 0.03% H₂O₂ as oxidant. Free-floating sections were mounted on precoated slides (SuperPlus; Fisher Scientific International Inc.) and air-dried. Sections were then dehydrated in graded ethanol and finally covered with Permount (Fisher Scientific).

Double-labeling immunohistochemistry was done with sections first incubated with primary antibodies [rabbit anti-cathepsin D in combination with either rat anti-F4/80 (1:1000; Serotec, Raleigh, NC) or mouse anti-calbindin (1:1000; Abcam, Cambridge, MA)], then with corresponding secondary antibodies conjugated with Alexa Fluor 488 or Alexa Fluor 594. Both secondary antibodies were purchased from Molecular Probes, Eugene, OR.

Filipin Staining

Filipin has been demonstrated to specifically stain free cholesterol because treatment with cholesterol oxidase results in a complete loss of fluorescence.³⁴ Brain tissue sections were washed with phosphate-buffered saline and incubated in the dark with 125 µg/ml filipin in PBS for 3 hours under agitation at room temperature. After washing in PBS, some sections were further processed for immunostaining with anti-calbindin or -LC3 (1:3000; Abgent, San Diego, CA) antibodies and corresponding secondary antibodies conjugated with Alexa Fluor 594.

Images of immunostained sections from different brain regions were visualized using a Zeiss microscope (Axioskop 2 Mot Plus) and digitized via a Zeiss digital photo camera (AxioCam Hrc) and the Axiovision program, version 3.1 (Zeiss), was used to capture and save digitized images. Digitized images were then assembled in Photoshop (version 7; Adobe Systems, Mountain View, CA) with only the brightness adjusted to match other panels in a given figure. Images of double fluorescent labeled sections were acquired by using a Nikon confocal microscope (Nikon TE 2000U with D-Eclipse C1 system; Melville, NY).

Electron Microscopy Analysis

Electron microscopy analysis was performed as previously described.³⁵ In brief, animals were perfused with an ice-cold solution of 0.1 mol/L phosphate buffer, pH 7.4, containing 1.5% paraformaldehyde and 1.5% glutaraldehyde. Cerebellum blocks were transferred to 2.5% glutaraldehyde in 0.1 mol/L phosphate buffer, pH 7.4, at 4°C for 24 hours, rinsed overnight in the phosphate buffer, postfixed with 1% osmium tetroxide in phosphate

buffer for 2 hours, followed by dehydration and embedded in epoxy resin. Ultrathin sections were prepared using a Reichert ultramicrotome, contrasted with uranyl acetate and lead citrate, examined under a Philips CM120 transmission electron microscope at 80 kV.

Results

Increased Levels of Cathepsin D in Brains of *Npc1*^{-/-} Mice during Postnatal Development

Cathepsin D is synthesized as an inactive 52- to 53-kD proenzyme; cathepsin D activation produces a 48-kD (single chain) intermediate and mature forms at 34 and 14 kD (heavy and light chains, respectively).^{36,37} Immunoblotting studies using anti-cathepsin D antibodies revealed an early-onset increase in levels of cathepsin D (both single chain and heavy chain) in all brain regions tested. At 2 weeks postnatal, levels of single chain cathepsin D (Figure 1A, arrows) in brainstem, cerebellum, cerebral cortex, and hippocampus of *Npc1*^{-/-} mice were 274 ± 7%, 190 ± 5%, 176 ± 12%, and 199 ± 5% of those measured in *Npc1*^{+/+} mice, respectively (means ± SEM, *n* = 5, *P* < 0.001; Figure 1B). Levels of single chain cathepsin D remained elevated at 4 weeks with further increase being only evident in cerebellum (Figure 1B). Changes in heavy chain cathepsin D (Figure 1A, —) were similar to those observed for the single chain isoform (Figure 1, A and B).

Immunohistochemical results revealed significant increases in cathepsin D immunoreactivity throughout the brain in 1-week-old *Npc1*^{-/-} mice. In contrast to the pattern observed in wild-type mice, numerous darkly labeled cells were found in cerebellum of *Npc1*^{-/-} mice, and most of them were located in white matter (compare Figure 2, B with A), suggesting that they were glial cells. High magnification examination showed that cathepsin D immunoreactivity was also moderately increased in Purkinje cells in *Npc1*^{-/-} (Figure 2D) compared with wild-type mice (Figure 2C). The number of cathepsin D-immu-

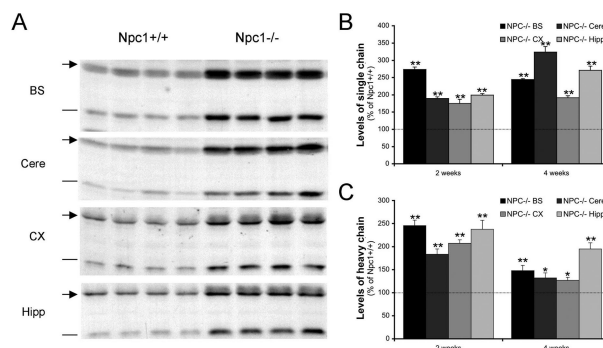


Figure 1. Cathepsin D levels in brain of *Npc1*^{+/+} and *Npc1*^{-/-} mice during postnatal development. **A:** Representative images of blots of samples from 2-week-old animals labeled with anti-cathepsin D antibodies. **Arrows** correspond to the “single chain” isoform of cathepsin D, whereas lines indicate the “heavy form” of cathepsin D (see Results for details). **B** and **C:** Quantitative results for levels of single chain cathepsin D and heavy chain cathepsin D isoforms, respectively. Data are presented as percentage of values from *Npc1*^{+/+} mice and are means ± SEM. *n* = 5; **P* < 0.05, and ***P* < 0.01.

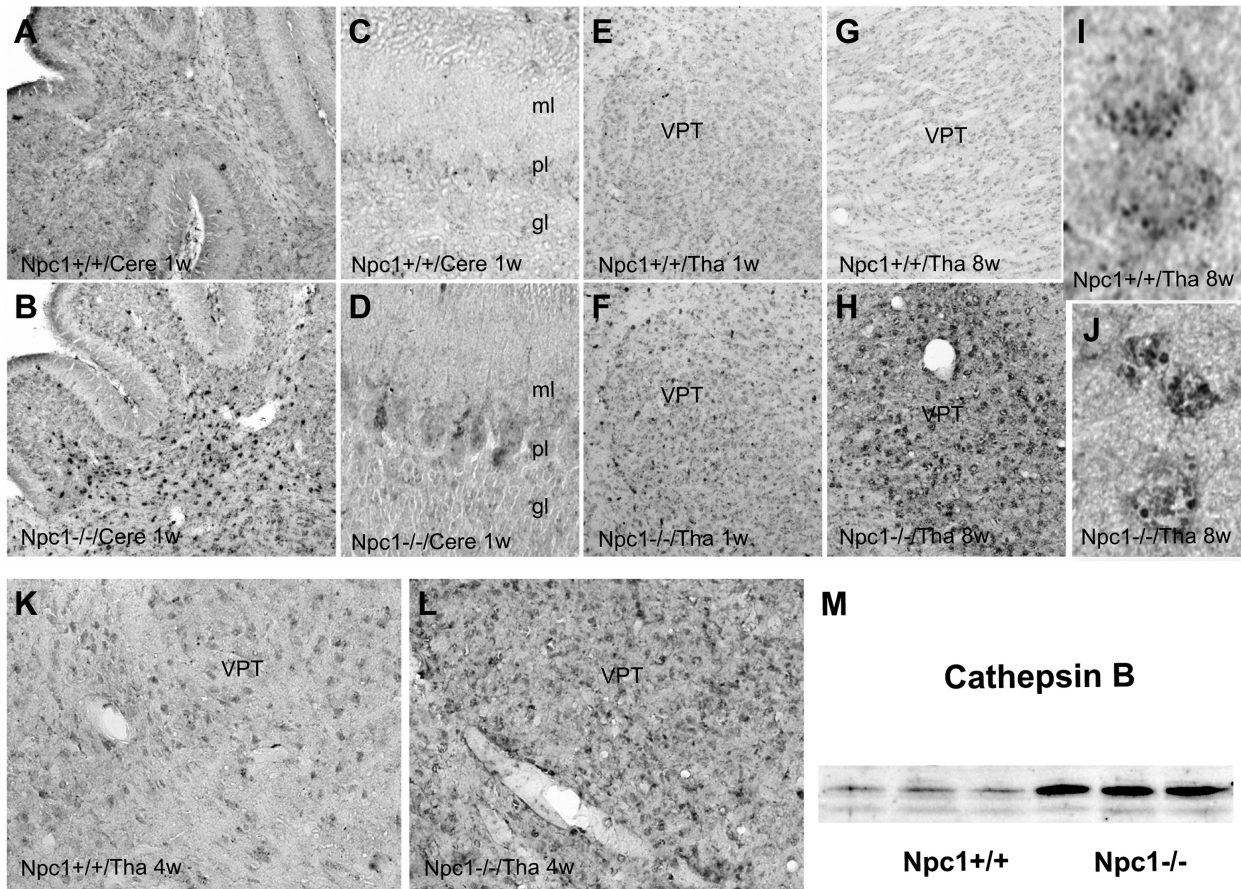


Figure 2. Distribution of cathepsin D and B in cerebellum and thalamus of *Npc1*^{-/-} mice during postnatal development. Cerebellar (A–D) and thalamic (E–L) tissue sections were prepared from *Npc1*^{+/+} (A, C, E, G, I, and K) and *Npc1*^{-/-} (B, D, F, H, J, and L) mice at postnatal week 1 (A–F), 4 (K and L), and 8 (G–J) and were immunostained with anti-cathepsin D (A–J) or anti-cathepsin B (K and L) antibodies. Higher magnification images show that in *Npc1*^{+/+} mice (I) cathepsin D immunoreactive products are mainly located in small-sized granules, whereas in *Npc1*^{-/-} mice they are present in larger puncta and their surrounding cytoplasmic structures (J). M shows immunoblots of cathepsin B-labeled samples from brainstem of 4-week-old mice. ml, molecular layer; pl, Purkinje layer; gl, granular layer; VPT, ventral posterior nucleus of thalamus. Scale bar = 50 μ m (A and B); 12.5 μ m (C–H and K and L).

nonreactive cells was also increased in the ventral posterior nuclei of the thalamus at 1 week (Figure 2F) compared with that in *Npc1*^{+/+} mice (Figure 2E), and it was further increased by 4 weeks. By 8 weeks, the ventral posterior nuclei of the thalamus were filled with anti-cathepsin D-immunopositive cells (Figure 2H). Higher magnification images showed that although cathepsin D-immunoreactive products existed in small granules that were scattered in cell bodies in the ventral posterior nuclei of the thalamus of *Npc1*^{+/+} mice (Figure 2I), those in *Npc1*^{-/-} mice were present in larger punctates that often clustered together (Figure 2J). Furthermore, higher cytoplasmic levels of cathepsin D immunoreactivity were observed around these punctates. Immunohistochemical and immunoblotting results showed that levels of another lysosomal hydrolase, cathepsin B, were also increased in mutant mice as compared with wild-type mice (Figure 2, K–M). Cathepsin D activity in homogenates from brainstem of 4-week-old *Npc1*^{-/-} mice was about 2.4 times higher than that from *Npc1*^{+/+} mice ($n = 3$ for *Npc1*^{+/+} and $n = 4$ for *Npc1*^{-/-} mice; $P < 0.01$). Cathepsin B activity also increased in samples from hippocampus ($295 \pm 8\%$; $P < 0.01$) and cerebellum ($187 \pm 3\%$; $P <$

0.01) of 8-week-old *Npc1*^{-/-} mice ($n = 5$) compared with *Npc1*^{+/+} mice ($n = 5$).

Double immunofluorescence staining was used to determine the cellular and subcellular localization of cathepsin D in mutant mice. At 4 weeks, cathepsin D-immunoreactive granules were observed in cell bodies of calbindin-immunopositive Purkinje cells in *Npc1*^{-/-} mice (Figure 3A, the asterisk in bottom panels), whereas very few cathepsin D positive granules were found in the cerebellum of *Npc1*^{+/+} mice (Figure 3A, top panels). In the cerebellum of 4-week-old *Npc1*^{-/-} mice, cathepsin D immunoreactivity was also found in reactive microglia identified with antibodies against the macrophage marker F4/80 antigen (data not shown). By 8 weeks, cathepsin D-labeled granules accumulated mainly in the apical processes of small cells dispersed among Purkinje cells in wild-type mice; from their position and morphology, these cells resembled Bergman glia (Figure 3B, arrows). Cathepsin D immunoreactivity in Bergmann glia in *Npc1*^{-/-} mice was similar to that in wild-type mice (Figure 3B). In the cerebellum of 8-week-old *Npc1*^{-/-} mice, cathepsin D immunoreactivity was also observed in F4/80-labeled reactive microglia (Figure 3B, mg); at this postnatal

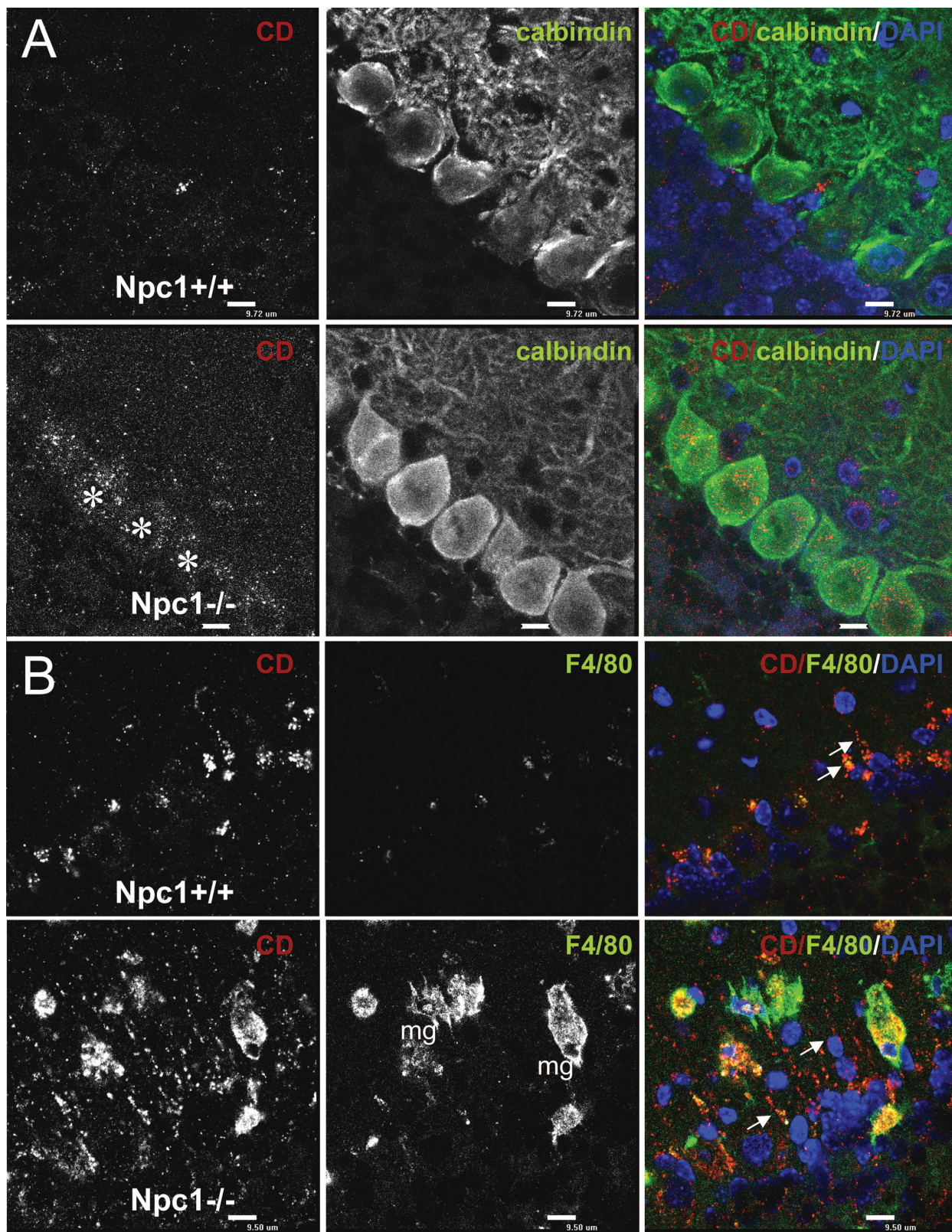


Figure 3. Cellular localization of cathepsin D in cerebellar cortex at 4 and 8 weeks postnatal. **A:** Double immunofluorescence staining using antibodies against cathepsin D (red) and calbindin (green) in cerebellum of 4-week-old *Npc1*^{+/+} (top panels) and *Npc1*^{-/-} (bottom panels) mice. DAPI (blue) was included in the mounting medium to label nuclei. **B:** Double immunofluorescence staining using antibodies against cathepsin D (red) and F4/80 (green; a marker for microglia) in cerebellum of 8-week-old *Npc1*^{+/+} (top panels) and *Npc1*^{-/-} (bottom panels) mice. *, Purkinje cells; **arrows**, Bergmann glia; mg, microglia. Scale bar = 10 μ m.

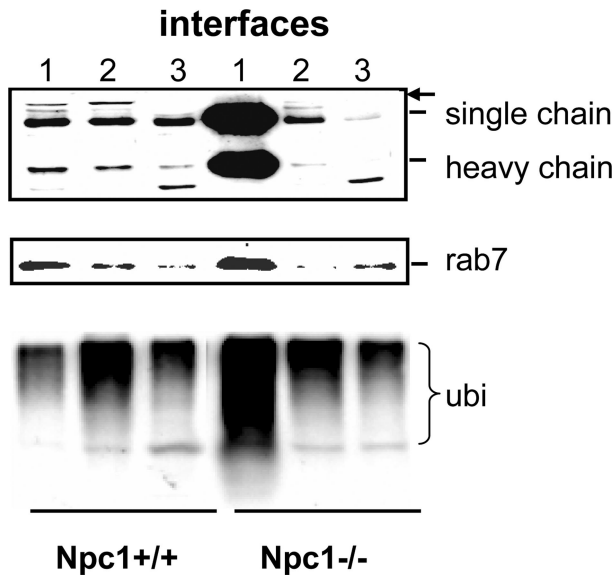


Figure 4. Abnormal protein distribution and ubiquitination in brain of *Npc1*^{-/-} mice. Immunoblots of samples from different fractions labeled with anti-cathepsin D [single chain and heavy chain] and pro-cathepsin D (arrow in top panel), -rab7 (middle panel), or -ubiquitin (ubi, bottom panel) antibodies. Note the marked increase in cathepsin D in fraction 1 in samples from *Npc1*^{-/-} mice. Note also the marked increase in levels of ubiquitinated proteins in endosomal/lysosomal fraction in the mutant mice. Interface 1 contains membrane from late endosome/lysosomes, interface 2 contains mainly early endosomes, and interface 3 contains other membrane structures. Western blots of subcellular fractions are representative of two experiments; each included four animals from each genotype. The results were very similar in both experiments.

age, microglia became larger and rounder and invaded both the Purkinje cell layer and the molecular layer.

Abnormal Subcellular Protein Distribution in Brains of *Npc1*^{-/-} Mice

The subcellular localization of various proteins was determined by combining subcellular fractionation and immunoblotting analysis. Cathepsin D levels were markedly higher in the late endosomal/lysosomal fractions in mutant compared with wild-type mice (Figure 4, top panel). Levels of the small GTP-binding protein Rab7, which participates in the maturation of autophagic vacuoles,^{38,39} were higher in the late endosomal/lysosomal fractions but lower in the early endosomal fractions in *Npc1*^{-/-} compared with wild-type mice. As a close link between autophagy and protein ubiquitination has previously been reported,^{40,41} levels of ubiquitinated proteins in different subcellular fractions were determined by immunoblotting using anti-ubiquitin antibodies. Proteins residing in the late endosomal/lysosomal fractions were highly ubiquitinated (Figure 4, bottom panel). Ubiquitin immunoreactive products were smeared from the top to the middle part of the gel resulting in a typical staining pattern that generally implies polyubiquitination.

Increased Levels of the Mammalian Autophagic Protein LC3-II in Brains of *Npc1*^{-/-} Mice

Lysosomal dysfunction perturbs normal protein degradation and amino acid recycling, which could result in

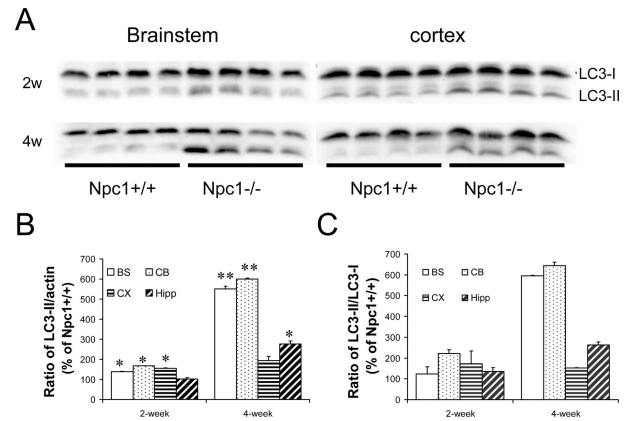


Figure 5. LC3-II levels in brains of *Npc1*^{-/-} mice during postnatal development. **A:** Representative images of blots labeled with anti-LC3 serum. Levels of LC3-II (**B**) and the ratio of LC3-II/LC3-I (**C**) are higher in mutant mice, especially in brainstem (BS) and cerebellum (CB); moderate increases were observed in cortex (CX) and hippocampus (Hipp). Data are presented as percentage of values from *Npc1*^{+/+} mice and are means \pm SEM. $n = 4$; * $P < 0.05$, and ** $P < 0.01$.

a state of “cellular amino acid starvation,” the most common cause of autophagy. To determine the status of autophagic activity in brains of *Npc1*^{-/-} mice, levels of the microtubule-associated protein 1 light chain 3 (LC3), a mammalian homologue of the yeast autophagic protein Atg8, were assessed in various brain regions by immunoblotting. Like Atg8, LC3 is modified via a ubiquitination-like system^{33,42}; LC3 is first cleaved in its carboxyl terminal and becomes LC3-I, which is further modified by Atg7 and Atg3 into a membrane-bound form, LC3-II.⁴² Modification of LC3 is essential for the formation of autophagosomes; thus LC3-II has been widely used as an autophagosomal marker.³³ Although brain levels of LC3-I in mutant mice did not significantly differ from that in wild-type mice, levels of LC3-II in 2-week-old mutant mice were significantly higher than those in wild-type mice (Figure 5). This difference was even greater in 4-week-old animals. Interestingly, elevation of LC3-II was more prominent in areas that are more sensitive to NPC-type injury. The LC3-II/LC3-I ratio exhibited similar changes as those of LC3-II in brain of *Npc1*^{-/-} mice compared with those in wild-type mice, further confirming that only LC3-II was altered.

Combined calbindin immunofluorescence and filipin staining confirmed that at postnatal week 4, accumulation of cholesterol in the cerebellum was mainly in Purkinje cells (Figure 6A, arrows in bottom panels). Combined LC3 immunofluorescence and filipin staining showed that although there was virtually no LC3 immunopositive granules in wild-type mice at 4 weeks, occasional LC3-immunopositive clusters were found in the apical dendrites of Purkinje cells filled with filipin-labeled free cholesterol in *Npc1*^{-/-} mice (data not shown). Interestingly, by 8 weeks LC3 immunopositive granules were found scattered in the soma of Purkinje cells in wild-type mice (Figure 6B, top panels), whereas in mutant mice, smaller LC3 granules aggre-

gated with cholesterol clusters at one pole of Purkinje cells (Figure 6B, asterisks in bottom panels) or in some

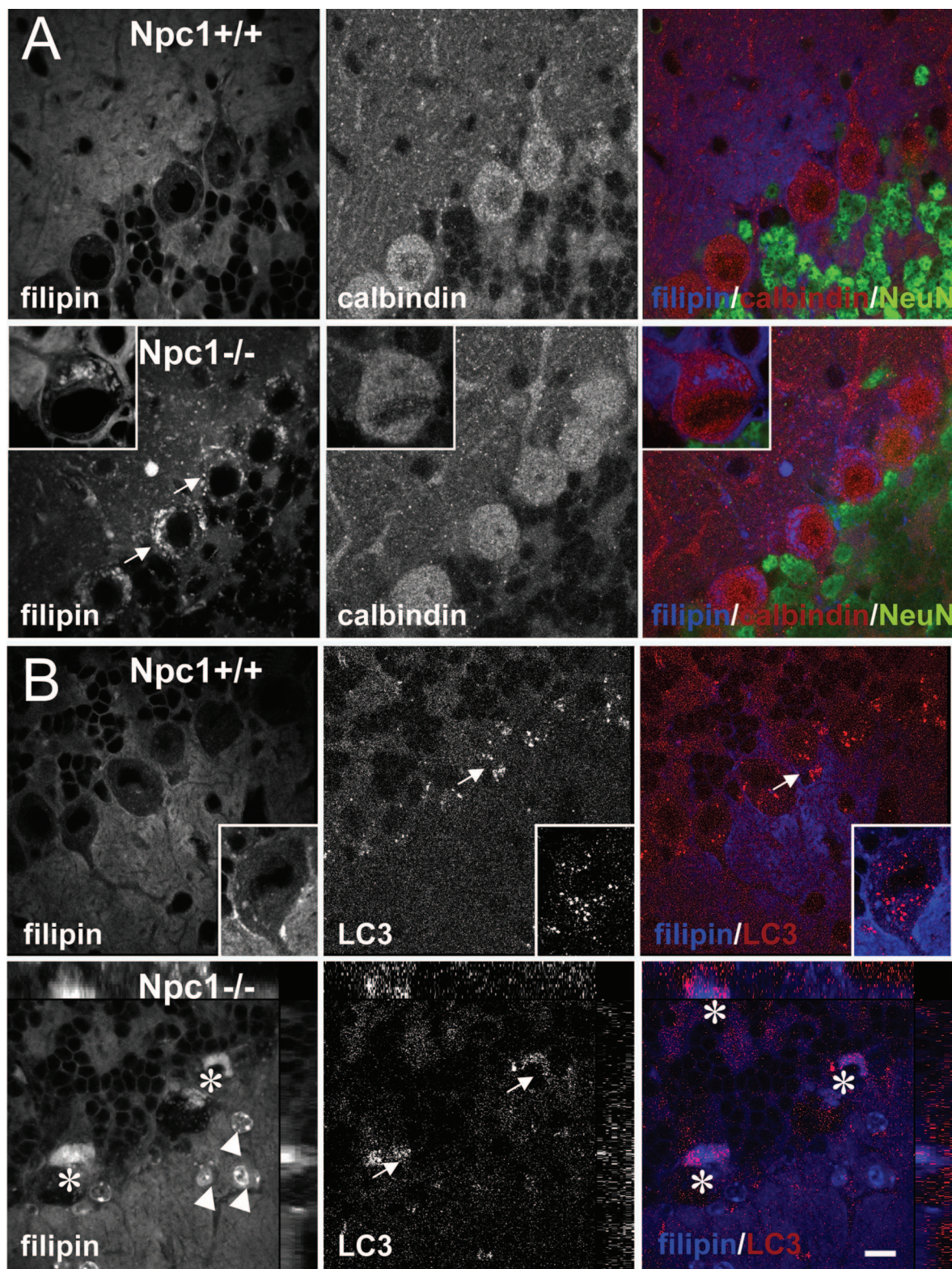


Figure 6. Cholesterol accumulation and sequestration of LC3 in Purkinje cells in *Npc1*^{-/-} mice. **A:** Localization of filipin-stained free cholesterol (blue) in calbindin-immunopositive Purkinje cells (red) in cerebellum from 4-week-old *Npc1*^{+/+} (top) and *Npc1*^{-/-} (bottom) mice. Note the accumulation of cholesterol in Purkinje cells in mutant mice but not in wild-type mice. Anti-NeuN (green) was used to label neurons. **B:** Combined LC3 immunostaining (red) with filipin staining (blue) in 8-week-old *Npc1*^{+/+} (top) and *Npc1*^{-/-} (bottom) mice. Top panels show LC3-positive puncta present in Purkinje cells of 8-week-old *Npc1*^{+/+} mice; **inset** is a higher magnification image showing the subcellular distribution of LC3 puncta. Bottom panels show three-dimensional colocalization of LC3 with cholesterol in Purkinje cells of an 8-week-old mutant mouse. Scale bar = 10 μ m.

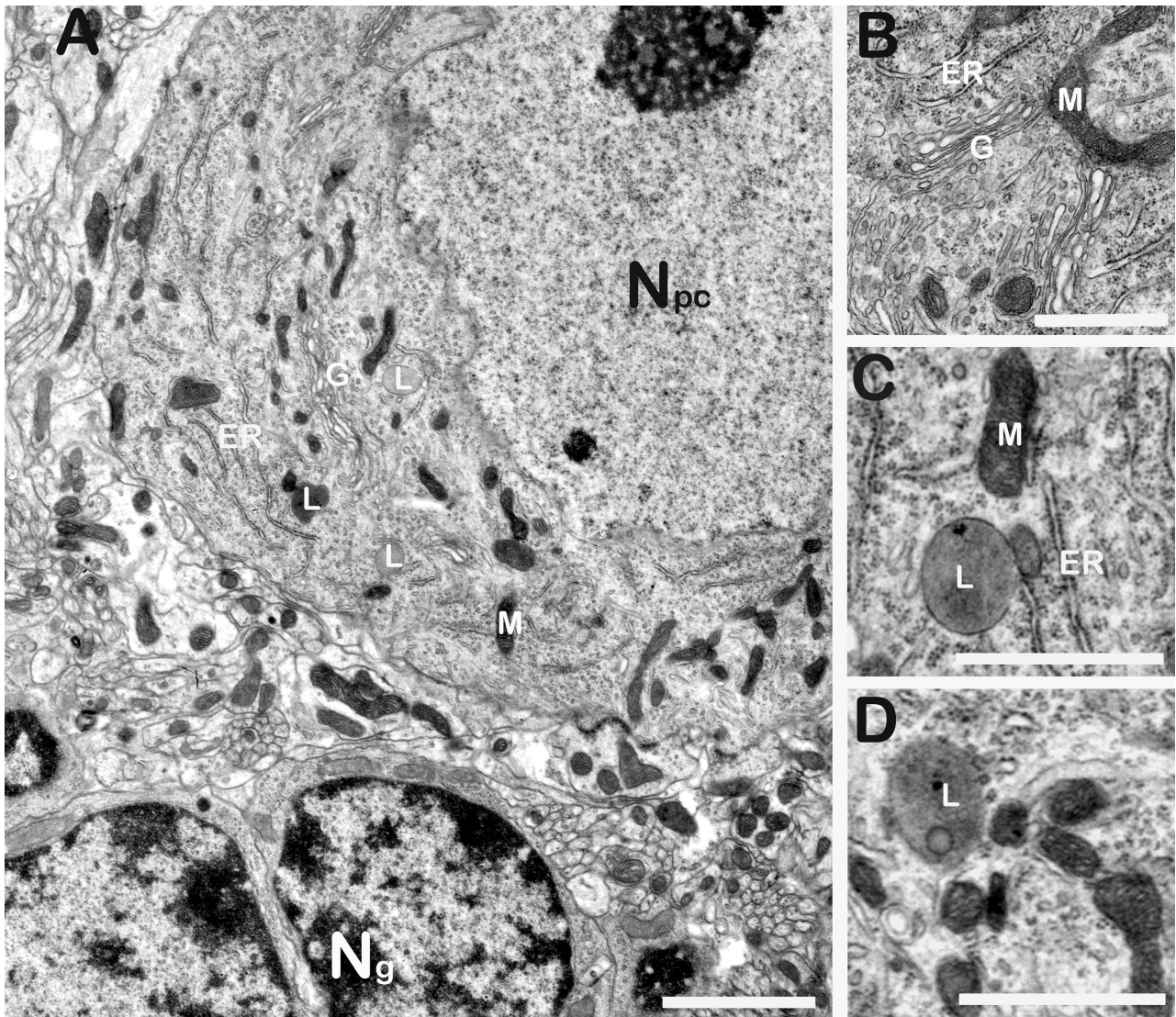


Figure 7. Ultrastructure of Purkinje cells in *Npc1*^{+/+} mice. **A:** A Purkinje cell in the vicinity of granule cells in a 6-week-old *Npc1*^{+/+} mouse. **B:** Stacks of Golgi apparatus are present in a Purkinje cell. **C and D:** Lysosome-like structures exist in Purkinje cells. ER, endoplasmic reticulum; G, Golgi apparatus; L, lysosome; M, mitochondria; Ng, nucleus of granule cell; Npc, nucleus of Purkinje cell. Scale bars = 2 μ m (**A**); 1 μ m (**B–D**).

densely packed small cells. Colocalization of LC3 with cholesterol was confirmed by orthogonal three-dimensional analysis of individual Purkinje cells (Figure 6B).

Ultrastructural Changes in Brains of *Npc1*^{-/-} Mice

As ultrastructural examination by transmission electron microscopy remains the most convincing and standard method to detect autophagy,⁴³ the morphology of intracellular inclusions in brain of *Npc1*^{-/-} mice was further evaluated by electron microscopy. Purkinje cells in the cerebellum of 6-week-old wild-type mice had a centrally located nucleus (Figure 7A) with stacks of perinuclear Golgi complex (Figure 7, A and B), polyribosomes, rough endoplasmic reticulum and mitochondria that were distributed relatively evenly in the cytoplasm (Figure 7).

Spherical or oval-shaped lysosomes (Figure 7, A, C, and D) were also observed in the cytoplasm of Purkinje cells in wild-type mice. Electron microscopy analysis of Purkinje cells from 6-week-old *Npc1*^{-/-} mice revealed a different feature: numerous intracellular inclusion bodies with different sizes and shapes accumulated in one side of the cell body and pushed a kidney-shaped nucleus to the other side (Figure 8A) and a cluster of endoplasmic reticulum and mitochondria aggregated along the indent side of the nucleus. High-magnification images showed that these inclusion bodies were mostly membranous vacuoles with double membranes (arrowheads) or multilamellated electron-dense material (Figure 8, arrows). In addition, abnormal multivesicular profiles (Figure 8) similar to the polymembranous cytoplasmic bodies described in human NPC disease were also common. Interestingly, lysosome-like structures with homogeneous filling of moderate levels of electron-dense materials, as those observed in wild-type mice, seemed to dis-

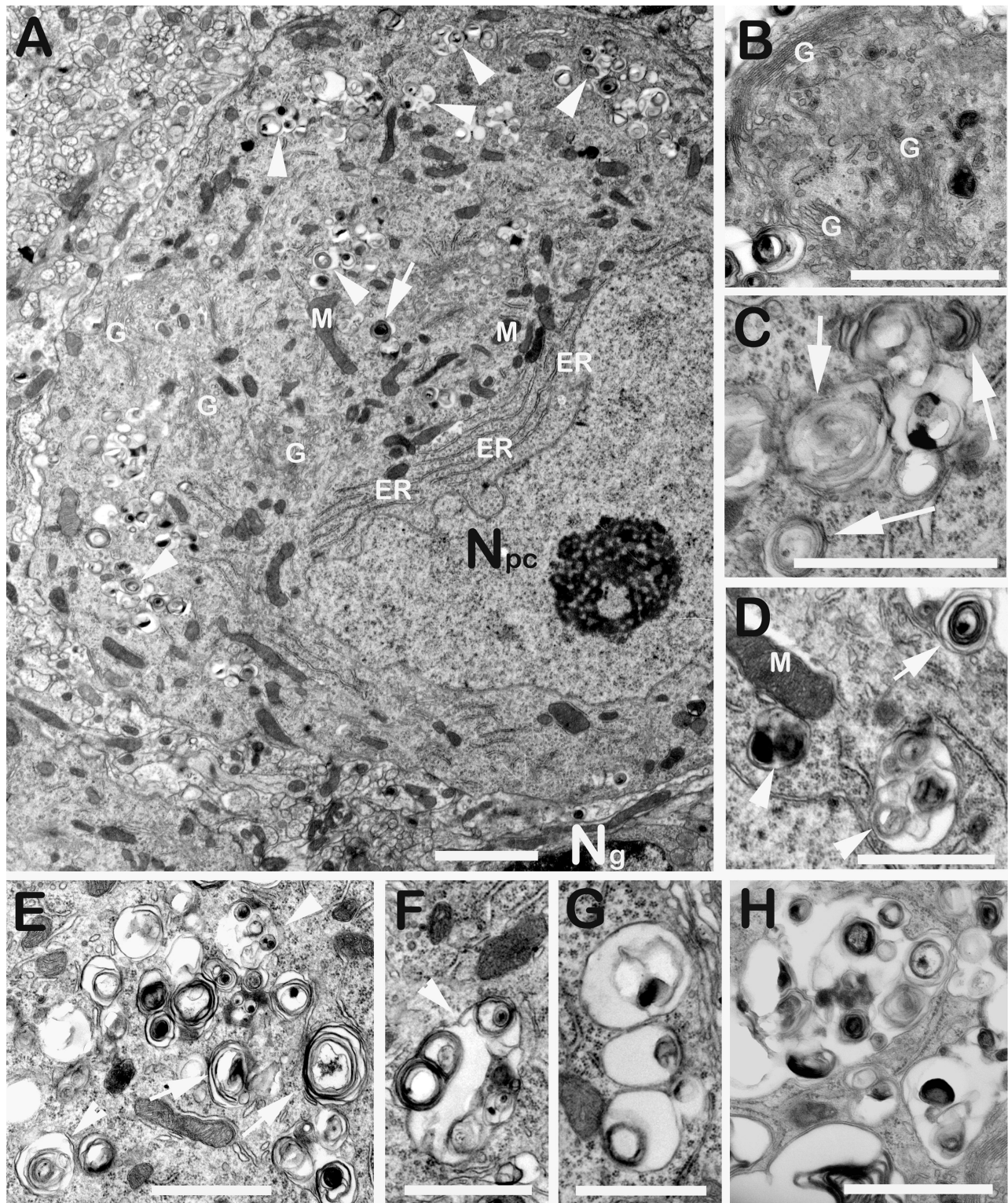


Figure 8. Ultrastructure of Purkinje cells in *Npc1*^{-/-} mice. **A:** A Purkinje cell in a 6-week-old *Npc1*^{-/-} mouse. Numerous vacuoles (arrowheads) of different sizes with various levels of electron-dense materials are present in the cytoplasm. **B:** Stacks of Golgi apparatus are clustered in the cytoplasm. **C–H:** Morphology of various membranous vacuoles. Some of them are with double membranes (arrowheads), whereas others have multilamellated structures (arrows). Scale bars = 2 μ m (**A**); 1 μ m (**B–H**).

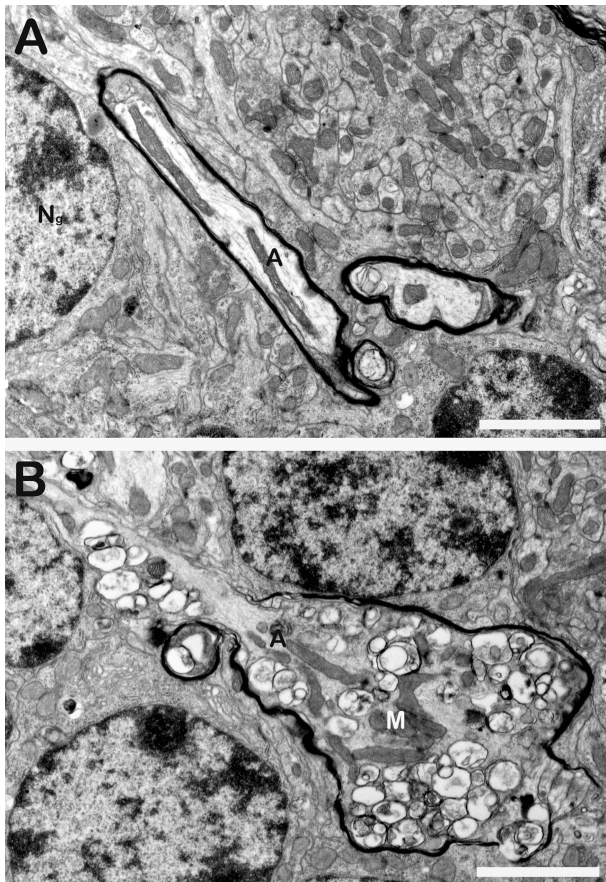


Figure 9. Axonal pathology in *Npc1*^{-/-} mice. **A:** A myelinated axon of a Purkinje cell axon exists in the vicinity of two granule cells in a 6-week-old wild-type mouse. **B:** An axonal spheroid in a myelinated Purkinje cell axon is located among granule cells in a 6-week-old *Npc1*^{-/-} mouse. Note that a cluster of mitochondria is surrounded by vacuoles accumulated within the spheroid. Scale bars = 2 μ m.

appear in mutant mice. Aggregation of membranous vacuoles was also observed in myelinated Purkinje cell axons that were located among granule cells; these vacuoles clustered with mitochondria and formed axonal spheroids (Figure 9B). Finally, membranous vacuoles were also observed in endothelia in capillaries located among parallel fibers and numerous synapses (compare Figure 10, B to A).

Discussion

Early-Onset Lysosomal Abnormality May Contribute to Neurodegeneration in *Npc1*^{-/-} Mice

Results from the present study indicated that abnormal levels of the lysosomal enzyme cathepsin D occurred early during postnatal development in *Npc1*^{-/-} mouse brain. Increases in levels of both single chain and heavy chain cathepsin D isoforms were clearly detected by immunoblotting in all brain areas examined at 2 weeks postnatal. Both isoforms possess catalytic activities,^{36,37} suggesting that cathepsin D activity might be increased in these areas in mutant mice. Indeed, enzymatic assay

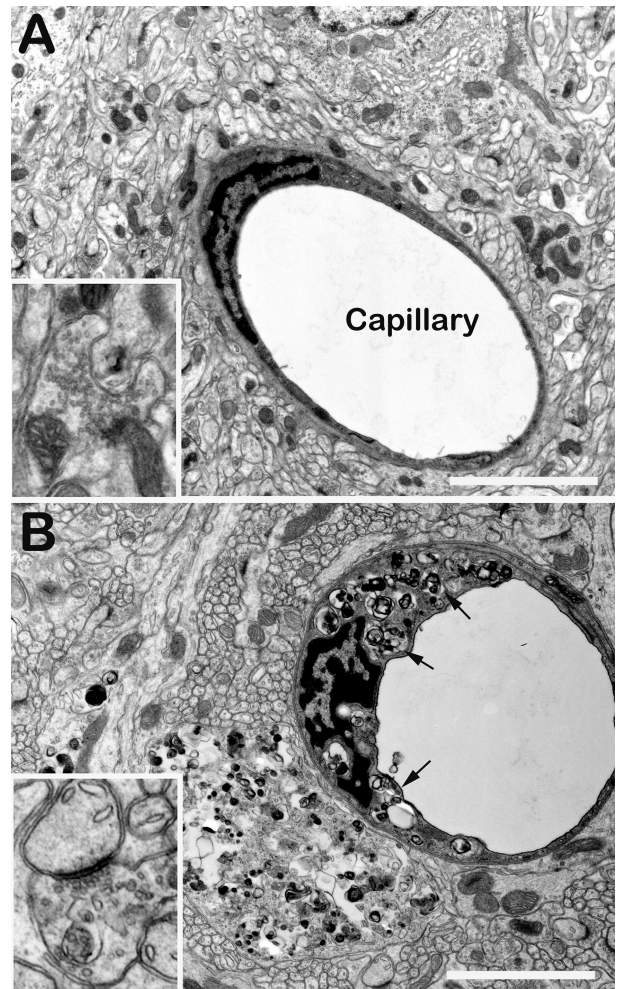


Figure 10. Capillary pathology in cerebellum of *Npc1*^{-/-} mice. A capillary is surrounded by parallel fibers and synapses in the cerebellum of a 6-week-old wild-type (**A**) or a mutant (**B**) mouse. Note the membranous inclusions in the endothelial cell in the mutant mouse. **Insets** show synapses. Scale bars = 2 μ m.

confirmed that cathepsin D activity was increased in the brainstem. Likewise, activity of another lysosomal hydrolase, cathepsin B, was also increased in the brains of mutant mice. However, the highest increases in cathepsin D levels were observed in the brainstem and cerebellum, two regions that exhibit early and marked neurodegeneration. Immunohistochemistry analyses indicated that increases in cathepsin D occurred both in neurons and in glial cells; dense cathepsin D immunoreactivity was observed in the soma of Purkinje cells of *Npc1*^{-/-} mice by 2 weeks postnatal, whereas clear glial localization was prominent in several brain regions at 4 weeks, results which are in agreement with those reported in an earlier study⁴⁴ and with the early-onset inflammatory response we previously reported.³² Enhanced cathepsin D immunostaining occurred mainly in brain structures exhibiting both accumulation of intracellular free cholesterol and neurodegeneration. Double immunofluorescence analysis showed that enhanced cathepsin D was present in both neurons and glia at 4 weeks but mainly in glial elements by 8 weeks postnatal.

Increases in number of secondary lysosomes and changes in levels of lysosomal enzymes have previously been associated with brain aging and age-related neurodegeneration.⁴⁵ In particular, increased cathepsin D levels occur in AD brains before the onset of major pathology, and this was correlated on a cell-by-cell basis with decreases in synaptic proteins and with the presence of one of the disease's hallmarks, neurofibrillary tangles.^{19,20} Furthermore, pharmacological suppression of cathepsins B and L resulted in increase in cathepsin D,^{46,47} lysosomal proliferation, formation of meganeurites (axon swellings that were often located proximal to the cell body) in cultured rat hippocampal slices,^{35,48} and in tau hyperphosphorylation and generation of neurofibrillary tangles in cultured hippocampal slices from apolipoprotein E-deficient mice.²¹ Furthermore, the compromise of lysosomal membrane and subsequent leakage of cathepsin D into cytoplasm are early events in amyloid β peptide treatment-induced cell death in cultured hippocampal neurons.⁴⁹ These results have supported the hypothesis that lysosomal dysfunction contributes to AD-type neuropathologies. A recent study from Nixon's laboratory²⁹ showed that extensive macroautophagy might contribute to changes in lysosomal function in AD. Thus our results further expand the previously noted similarities in neuropathological mechanisms between NPC and AD and suggest therefore that lysosomal dysfunction may contribute to NPC type neurodegeneration.

Abnormal Autophagic Activity and Protein Ubiquitination in Brains of $Npc1^{-/-}$ Mice

Impairment in cholesterol transport induced by NPC1 mutations is associated with abnormal vesicle trafficking and redistribution of presenilin,⁵⁰ glycosphingolipids,⁵¹ rab7,^{52,53} and annexin II,⁵⁴ although the underlying mechanism has remained elusive. Immunoblotting analysis revealed an early-onset increase in levels of LC3-II, a widely used marker for autophagy. Levels of this protein were particularly high in the brainstem and cerebellum, two brain regions exhibiting the severest neuronal death, which suggests that autophagy may contribute to neurodegeneration. Increases in autophagic stress were further confirmed by ultrastructural detection of autophagosome-like vacuoles that were prominent in brains of $Npc1^{-/-}$ animals but uncommon in wild-type mice, findings that are consistent with results from a recent report.³¹ We previously showed that levels of inactive GSK-3 β were markedly increased in $Npc1^{-/-}$ mouse brains and this increase was closely associated with inactivation of nuclear factor κ B signaling in brains of $Npc1^{-/-}$ mice during early development.⁵⁵ Sequestration of this kinase, which also explains the predominant lysosomal location of the enzyme.⁵⁵ Relocation of GSK-3 to lysosomes could also result from enhanced chaperone-mediated autophagy, a possibility that needs to be further explored. It has previously been reported that, although GSK-3 β was located predominantly in the cytosol of SH-SY5Y cells, its active form was disproportionately

higher in nuclei and mitochondria.⁵⁶ The active form of another kinase, extracellular signal-regulated kinase 1/2, was also localized in the mitochondria and autophagosomes in Lewy body disease.⁵⁷ It is conceivable that changes in subcellular localization of these kinases could alter their activities; however, further experiments are needed to clarify this issue. Interestingly, proteins in the late endosomal/lysosomal fractions of brains from $Npc1^{-/-}$ mice were also highly ubiquitinated. Protein ubiquitination, a type of post-translational protein modification, is generally used to deliver targeted proteins for degradation through the proteasome.⁵⁸ In addition to this "classic" route for protein breakdown, ubiquitination of membrane integral proteins with one ubiquitin (monoubiquitination) directs these proteins to multivesicular bodies then to lysosomes for degradation.⁵⁹ However, lysosome-degraded proteins are thought to be deubiquitinated before import into the lysosome. Immunoblotting results showed that proteins accumulated in late endosomal/lysosomal fractions were mostly polyubiquitinated; these proteins should be degraded in proteasomes located in the cytoplasm. How these proteins are delivered to lysosomes is not clear. Mutation of NPC1 proteins not only leads to accumulation of cholesterol in late endosomal/lysosomal compartments but also results in abnormal distribution of proteases along the endocytic pathways⁶⁰ as well as leakage of cathepsins into the cytoplasm, which would lead to lysosomal dysfunction and incomplete digestion of "cargos." As a compensative response, autophagic activity would be increased. However, due to lysosomal dysfunction, LC3 and other proteins in autophagolysosomes cannot be as efficiently degraded as under normal conditions,^{61,62} which leads to further increase in accumulation of undigested proteins.

Cholesterol Accumulation-Associated Autophagic-Lysosomal Dysfunction May Contribute to Neurodegeneration in $Npc1^{-/-}$ Mice

Several lines of evidence have indicated that autophagic cell death is involved in cell death that normally occurs during postnatal development in the nervous system²⁷ and in several neuronal degenerative diseases and animal models of these diseases.²⁸⁻³⁰ Expression of α -synuclein with the same mutations as those found in early-onset Parkinson's disease in a cultured cell line induced massive accumulation of autophagic vacuoles and impairment of the ubiquitin-proteasome system.⁶³ Ultrastructural examination revealed that both apoptotic and autophagic features were present in degenerating neurons of the substantia nigra in Parkinson's disease patients.³⁰ In the case of AD, an earlier study reported the existence of active caspase 3 in autophagic vacuoles, which led the authors to propose that autophagy might be neuroprotective in AD.²⁸ However, a more recent study by Nixon and colleagues²⁹ demonstrated that autophagic vacuoles were abundant in degenerating neurites and were specifically colocalized with neurofibrillary tangles in perikarya. These findings support the

involvement of autophagy in neurodegeneration. *In vitro* experiments showed that trophic factor withdrawal induced Purkinje cell death with increased autophagy,⁶⁴ whereas autophagy inhibition prevented both increased vacuolation and loss of Purkinje cells. *In vivo* evidence supporting a role of autophagy in neurodegeneration also came from studies of *lurcher* mice. Selective Purkinje cell death in *lurcher* mice is caused by mutations in the $\delta 2$ glutamate receptor (GluR $\delta 2$).⁶⁵ Additional experiments demonstrated that mutations in GluR $\delta 2$ resulted in enhanced autophagy, possibly by interactions between the mutated receptors and the autophagic protein Beclin1.^{65,66} These results provided strong evidence for a direct link between autophagy and Purkinje cell death in *lurcher* mice. As autophagy is generally followed by the fusion of lysosomes with autophagosomes and formation of autophagolysosomes, in which the autophagic components are degraded.⁶⁷ The beneficial or detrimental effect of autophagy may depend on the functional status of compartments downstream of the autophagic pathway. Defects in completing autophagy could result in accumulation of autophagosomes and autophagolysosomes, which could impair cell function. Furthermore, accumulation of autophagolysosomes could feedback on lysosomal function and induce lysosomal membrane permeabilization and translocation of cathepsins to the cytosol, a process implicated in cell death induced by various insults.^{49,68} Release of cathepsins from lysosomes into cytosol could also initiate caspase-dependent apoptosis via activation of proapoptotic factors such as Bax, Bid, and caspases, as in the case of staurosporine-induced cell death.^{22–24} Cleavage of the microtubule associated protein tau by cytosolic cathepsin D has been proposed to participate in AD pathogenesis and transport failure due to impairment in microtubule formation is postulated to contribute to accumulation of autophagosomes/autophagolysosomes in AD brain.²⁹

In addition to demonstrating enhanced autophagic activity in brains of *Npc1*^{-/-} mice, results from the present study showed an abnormal subcellular distribution of LC3-labeled autophagosomes. In contrast to the notion that autophagy is a reaction to starvation, appreciable amounts of autophagosomes existed in Purkinje cells of 8-week-old wild-type mice, suggesting that autophagy may contribute to the maintenance of normal morphology and function of neurons. This notion is supported by the recent discoveries that knocking out two critical proteins of the autophagy machinery, Atg5 and Atg7, resulted in massive neurodegeneration.^{69,70} In Purkinje cells of *Npc1*^{-/-} mice, LC3-labeled autophagosomes aggregated and colocalized with cholesterol clusters, indicating an abnormal autophagy-lysosome system. It is conceivable that cholesterol accumulation in the endosomal/lysosomal system impairs autophagosome fusion with lysosomes. It is also possible that accumulated cholesterol "traps" the autophagy machinery and other proteins in late compartments of the endocytic pathway, thereby impairing cell function. The fact that Purkinje cell in mutant mice seemed to lack classic lysosomes as observed in wild-type mice indicates an abnormal autophagic-lysosomal system in the *Npc1*^{-/-} mice. The hypothesis

that lysosomal dysfunction redirects autophagy toward cell death is supported by the finding that inhibition of lysosome fusion with autophagic vacuoles in starved cells induced an early-onset autophagic cell death followed by classic apoptosis.^{71,72}

In summary, the present study presented evidence that increases in brain levels of lysosomal cathepsins B and D occurred early during postnatal development in brains of *Npc1*^{-/-} mice, in particular in areas that exhibited early-onset neurodegeneration. Changes in lysosomal function were accompanied with relocation of ubiquitinated proteins in endosomes/lysosomes. Redistribution of these proteins may result from enhanced autophagic activity, which was demonstrated by immunoblotting and immunofluorescence analysis of LC3 and ultrastructural detection of autophagic vacuoles. These results provide the first evidence that accumulation of cholesterol alters autophagy-lysosome function and diverts this system toward neurodegeneration in NPC.

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